

JVM Garbage Collector Tuning Explained

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 - Previously freelance, SimulaLabs, HP
- Active in Open Source and Java communities
 - Jetty, CometD, MX4J, Foxtrot, LiveTribe, etc.
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- Currently working on:
 - Comet client-side and server-side applications
 - Client for browsers, J2ME and Android
 - Server-side asynchronous I/O and protocols

Do you need to tune the GC ?

- Make your application right
 - Make it even righter

- Make it efficient and fast
 - Choose the right algorithms
 - Use profilers and similar tools

- At the end, when all the rest is done, and your application has been live for a while, then you can look at the Garbage Collector
 - Rarely makes any sense doing it before

- It is very difficult to replicate real load in a test environment
- To tune the Garbage Collector, you need information taken from the live system
- It will take a while to gather information
 - Allocate time in the order of weeks to this activity
- But sometimes, it really makes the difference

Do I need to tune the GC ?

A) No, but let's have some fun

B) Yes, my application needs it

- JVM Memory Layout, Allocation and Collection
- Garbage Collector Algorithms
- Monitoring the Garbage Collector
- Tuning the Garbage Collector

JVM Memory Layout

- The JVM divides the memory it manages in 3 major “generations”:
 - Young Generation (or “New”)
 - Old Generation (or “Tenured”)
 - Permanent Generation

- $\text{Young} + \text{Old} = \text{Total Heap}$

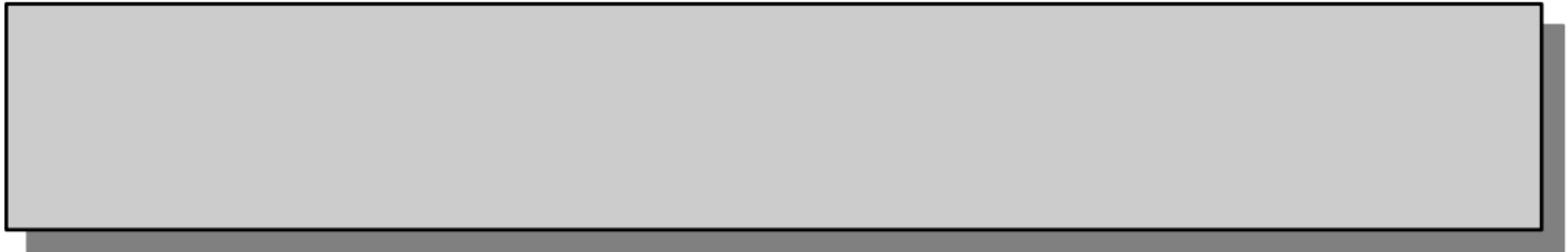
- `-Xmx<size>` sizes the total heap
 - Default Young:Old ratio on 64-bit server JVM is 1:2

- The Young Generation is again divided in 3 “spaces”:
 - Eden Space
 - Survivor Space 0
 - Survivor Space 1
- `-Xmn<size>` sizes the Young Generation
 - There are other flags to fine tune it, but this works well

Young Generation



Old Generation

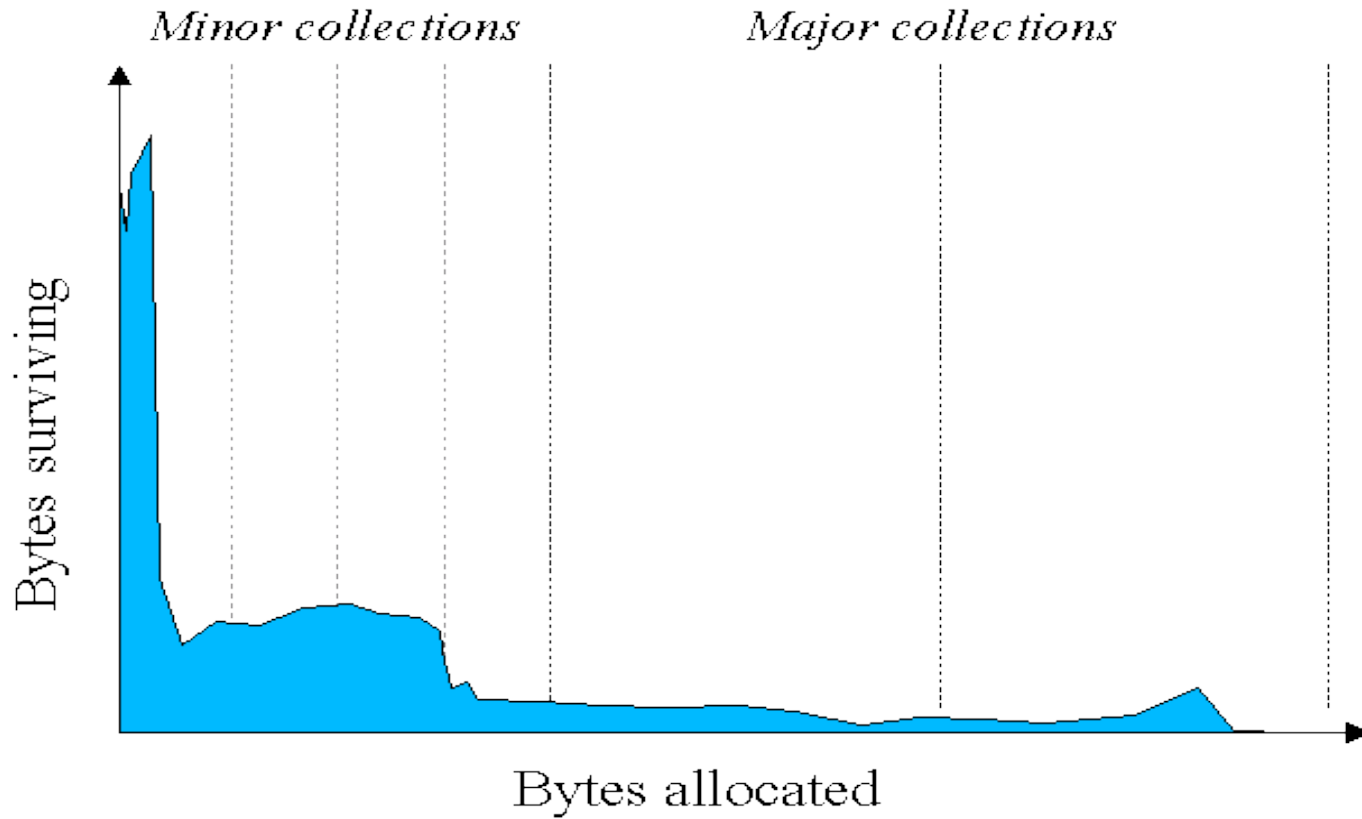


Permanent Generation



- Why does the JVM have “generations” ?
- Careful analysis of Java applications showed that there are 2 types of garbage:
 - “short-term” garbage, whose life is very short (few seconds or less)
 - “long-term” garbage, whose life is longer (few minutes to application lifetime)
- “Short-term” garbage is often responsible of most of the garbage generated
 - An efficient GC for “short-term” garbage can free up most of the heap

JVM Memory Layout



JVM Allocation Strategies

- What happens when the JVM needs to allocate memory ?
- It tries to allocate it in Young Generation, in the Eden space
- If that fails (not enough space left), then:
 - It triggers a Young Generation collection; or
 - It allocates it in the Old Generation directly (rare and possibly try to avoid it)

JVM Garbage Collection

- When the Eden Space is full, a so called “minor collection” is triggered
 - Survivor objects are copied into Survivor Space 0
 - Survivor Space 1 is copied into Survivor Space 0
 - The Survivor Age is increased
 - Default Survivor Age on 64-bit server JVM is 4
 - Older survivors overflow to the Old Generation
 - Eden Space is emptied

- If not enough room in Survivor Space ?
 - Overflow to Old Generation

- When the Old Generation is full, a so called “full collection” is triggered
- Exact behavior depends on the GC algorithm
- When the GC cannot free memory in the Old Generation, an `OutOfMemoryError` occurs

Garbage Collector Algorithms

- JDK 6 has 5 Garbage Collector Algorithms:

- Parallel (PS - Parallel Scavenge)

- Two available for the Young Generation

- -XX:+UseParallelGC, cannot be used with CMS

- -XX:+UseParNewGC, for use with CMS

- One available for the Old Generation

- XX:+UseParallelOldGC

- Concurrent (CMS - Concurrent Mark Sweep)

- Only for the Old Generation

- -XX:+UseConcMarkSweepGC

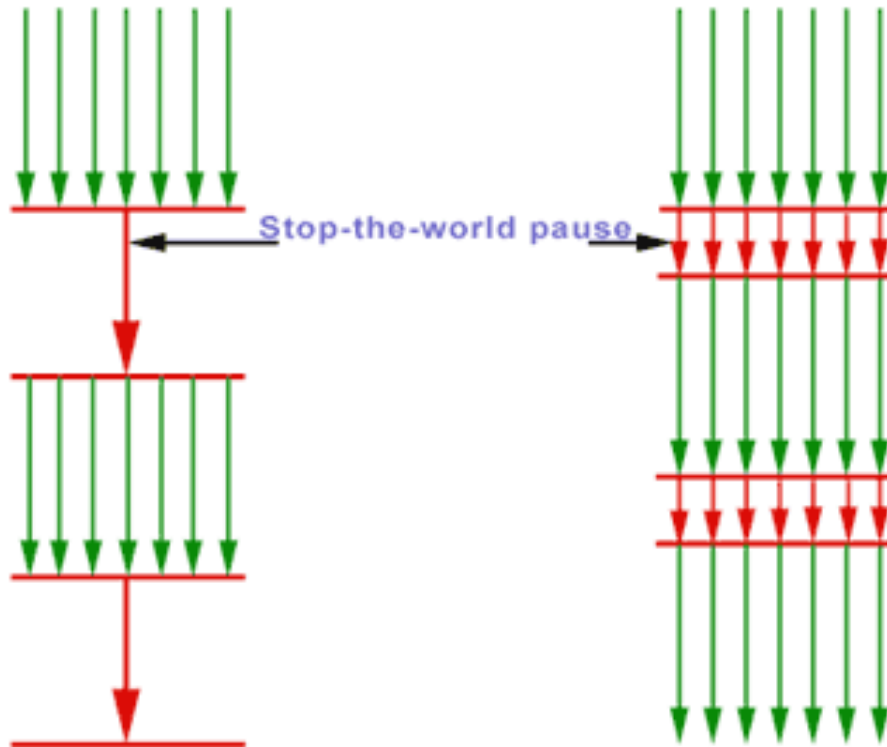
- Serial

- Only for the Old Generation

- Parallel == full stop-the-world, multi-threaded

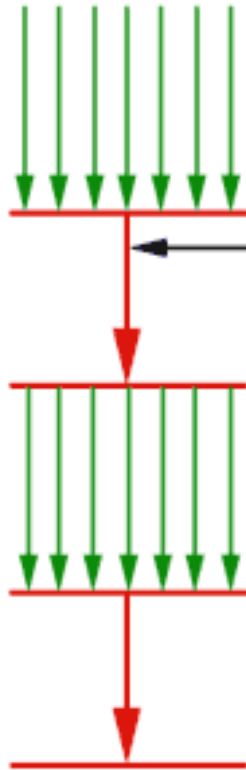
Default Copying Collector

Parallel Collector



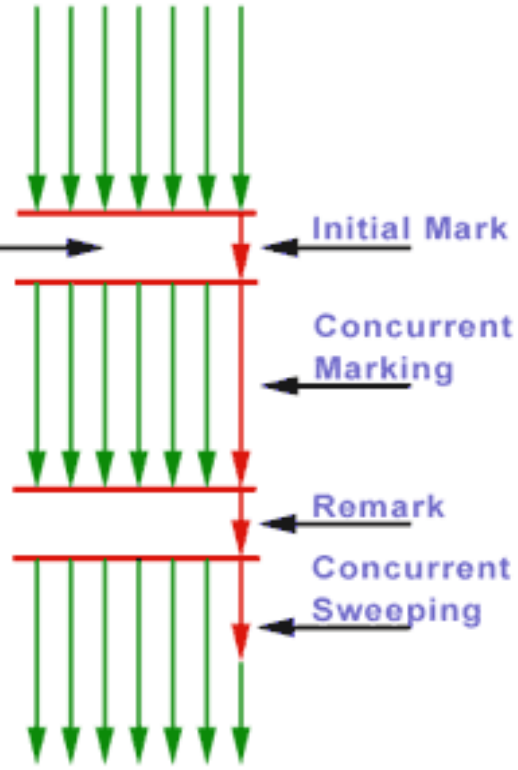
- CMS == partial stop-the-world, multi threaded

Default Mark-compact collector



Stop-the-world pause

Concurrent Mark-Sweep collector



Initial Mark

Concurrent Marking

Remark

Concurrent Sweeping

■ Parallel Algorithms

- Grow and Shrink the Generation they work on
- Compact the space

■ Young Generation Algorithms

- Collection time depends on number of live objects
- Not on the Generation size, not on the amount of garbage

- CMS Algorithm
 - Does not compact (its worst “defect”)
 - Hence it is subject to space fragmentation
- What happens when the space is too fragmented and allocation fails ?
 - CMS falls back to the Serial algorithm
 - Very long stop-the-world pause
- Try to never reach that point with CMS

- There always are 2 collectors running in the JVM
 - One for the Young Generation
 - One for the Old Generation
- You can tune both independently, but they are related
 - This is what makes tuning difficult

Monitoring the Garbage Collector

- `-XX:+PrintCommandLineFlags`
 - Reprints all implied options
- `-XX:+PrintGCDateStamps`
- `-XX:+PrintGCTimeStamps`
 - GC time information
- `-XX:+PrintGCDetails`
 - GC activity information
- `-XX:+DisableExplicitGC`
 - Avoids RMI's `System.gc()`
- `-Xloggc:<file>`
 - Outputs to a file

- Analyze the GC log file to understand:
 - GC overhead
 - $\text{time spent in GC} / \text{time spent in application}$
 - Max stop-the-world pause
 - Allocation rate and promotion rate

- Use jstat to gather further information
 - `jstat -gcutil <pid>`

- GC overhead vs Max stop-the-world pause
 - Overhead can be really low, but pauses really long

Tuning the Garbage Collector

- You need to choose/tune 2 things:
 - Generation Sizes
 - GC algorithm
- Advice #1:
 - Make your heap BIG
- Big heaps reduce the frequency of collections
 - And increase the chance that objects do not survive
- Use `-Xms<size> == -Xmx<size>`
 - Saves grow/shrink time

- Young Generation sizing: make it BIG
 - Can go up to same size as Old Generation
 - Remember: collection time does not depend on size

- Advice #2:
 - Maximize garbage in Young Generation

- Collection in Young Generation is cheap
- Usually not much tuning needed
 - The GC algorithm will be Parallel

■ Output example (collection frequency: ~35 s)

- `-XX:+UseParNewGC -XX:+PrintTenuringDistribution`

```
2010-06-02T06:43:08.589-0700: 940.165: [GC 940.165: [ParNew
```

```
Desired survivor size 104857600 bytes, new threshold 4 (max 4)
```

```
- age 1: 43824512 bytes, 43824512 total
```

```
- age 2: 17958408 bytes, 61782920 total
```

```
- age 3: 20590872 bytes, 82373792 total
```

```
- age 4: 14776712 bytes, 97150504 total
```

```
: 1793581K->132958K(1843200K), 0.1019750 secs] 2003784K-  
>357779K(5939200K), 0.1021550 secs] [Times: user=0.60 sys=0.04,  
real=0.10 secs]
```

- Total Heap: $2003784 - 357779 = 1646005$ collected (in Young)
- Young Generation: $1793581 - 132958 = 1660623$
- Promoted: $1660623 - 1646005 = 14618$
- Times: user/real = 6 (6x parallelism)
- Ages: ~44 MB age 1; ~18 MB age 2; ~21 MB age 3; ~15 MB age 4

- Old Generation sizing: make it BIG
 - Bigger than or equal to Young Generation
 - Remember: collection time does depend on size

- Advice #3:
 - Try to avoid full collections

- Collection in Old Generation is expensive

- Parallel Old Generation Collector
- Has auto-tuning features (“ergonomics”)
 - Not sure how good / reliable they are
- Not much tuning needed anyway
- Explicit tuning gives full control

- Compact Mark Sweep (CMS) Old Generation Collector, or “low-pause” collector
- Advice #4
 - Try to avoid promotions
- CMS does not compact space
 - Need to avoid fragmentation
- But you can schedule a compacting full GC
 - For example, at night

2010-06-02T10:25:06.432-0700: 14258.007: [GC [1 [CMS-initial-mark](#): 3304088K(4096000K)] 3427806K(5939200K), 0.0678380 secs] [Times: user=0.06 sys=0.00, real=0.07 secs]

2010-06-02T10:25:06.500-0700: 14258.075: [CMS-concurrent-mark-start]

2010-06-02T10:25:07.401-0700: 14258.976: [[CMS-concurrent-mark](#): 0.897/0.901 secs] [Times: user=2.42 sys=0.13, real=0.90 secs]

2010-06-02T10:25:07.401-0700: 14258.976: [CMS-concurrent-preclean-start]

2010-06-02T10:25:07.492-0700: 14259.067: [[CMS-concurrent-preclean](#): 0.076/0.091 secs] [Times: user=0.15 sys=0.01, real=0.09 secs]

2010-06-02T10:25:07.492-0700: 14259.067: [CMS-concurrent-abortable-preclean-start]

CMS: abort preclean due to time 2010-06-02T10:25:12.589-0700: 14264.164: [CMS-concurrent-abortable-preclean: 4.970/5.097 secs] [Times: user=7.17 sys=0.41, real=5.10 secs]

2010-06-02T10:25:12.592-0700: 14264.167: [GC[YG occupancy: 593314 K (1843200 K)]14264.168: [Rescan (parallel) , 0.0766200 secs]14264.244: [weak refs processing, 0.1023280 secs]14264.347: [class unloading, 0.0059520 secs]14264.353: [scrub symbol & string tables, 0.0026240 secs] [1 [CMS-remark](#): 3304088K(4096000K)] 3897403K(5939200K), 0.1925890 secs] [Times: user=0.70 sys=0.01, real=0.20 secs]

2010-06-02T10:25:12.785-0700: 14264.361: [CMS-concurrent-sweep-start]
 2010-06-02T10:25:15.655-0700: 14267.231: [[CMS-concurrent-sweep](#): 2.860/2.860 secs] [Times: user=4.37 sys=0.28, real=2.86 secs]

2010-06-02T10:25:15.655-0700: 14267.231: [CMS-concurrent-reset-start]
 2010-06-02T10:25:15.688-0700: 14267.264: [[CMS-concurrent-reset](#): 0.033/0.033 secs] [Times: user=0.06 sys=0.01, real=0.04 secs]

- **CMS-initial-mark** is the first stop-the-world phase
- Followed by a concurrent mark phase
- Then a concurrent preclean, that is meant to be interrupted
 - In this case by a 5 second timeout
- **CMS-remark** is the second stop-the-world phase
- Followed by a concurrent sweep phase
- Then a final reset phase
- The whole CMS cycle took 9.257 s (with a 5 s timeout)

- It is possible to make CMS parallel
 - `-XX:ParallelCMSThreads=<number>`
- Trade off between CMS cycle time and overhead during concurrent phases
 - More threads will benefit the application during parallel phases, but hurt during concurrent phases
- CMS big risk: the collection cannot complete, so a compacting full collection is triggered
 - Which implies BIG pauses

- CMS triggers by default when Old Generation is 92% full
 - Do not trust online sources that say 68%, try yourself
- Threshold at 92% could be too high
 - Leaves little space for big allocations
 - Remember, it's fragmented
 - A promotion from Young Generation may not find enough space
 - And a compacting full collection will trigger: big pause
 - A CMS collection does not finish before the Old Generation is full
 - “Concurrent mode failure”

- The most important tuning parameter for CMS:
 - `-XX:CMSInitiatingOccupancyFraction`
- Tells at what percentage trigger the CMS collection
 - You need trials and errors to tune it
- Trade off between collection frequency, collection overhead and risk of big pauses

Questions & Answers

■ JDK 6 GC Reference:

- http://java.sun.com/javase/technologies/hotspot/gc/gc_tuning_6.html

■ JDK 6 JVM Options:

- <http://java.sun.com/javase/technologies/hotspot/vmoptions.jsp>

■ Jon the Collector's blog:

- <http://blogs.sun.com/jonthecollector>

■ GC mailing lists archives:

- <http://mail.openjdk.java.net/mailman/listinfo/hotspot-gc-use>
- <http://mail.openjdk.java.net/mailman/listinfo/hotspot-gc-dev>